

Trading Membership

Derivatives member requirements



Derivatives member requirements

- 1. **ADX Derivatives Application Form:** Complete the official application form for Derivatives Membership.
- 2. **Risk Disclosure Statement for Investors:** Provide a copy of your Risk Disclosure Statement for investors.
- 3. **Member Risk Management Policy:** Submit your company's Risk Management Policy for derivatives trading.
- 4. **Membership Certificate/Approval (if applicable**): If applicable, include your membership certificate or approval document from other derivatives exchanges.
- 5. **Settlement Bank Account Confirmation:** Present a confirmation letter from the settlement bank regarding your account opening.
- 6. Valid Trade License Copy: Provide a copy of your current and valid trade license.
- 7. SCA License Copy: Include a copy of your valid Securities and Commodities Authority (SCA) license.
- 8. List of Authorized Signatories: Submit a list of individuals authorized to sign on behalf of your company.
- 9. CVs of Authorized Traders: Provide the curriculum vitae (CV) for each authorized trader in your firm.
- 10. **ADX CCP Broker Letter of Undertaking:** Sign and submit the ADX CCP Broker Letter of Undertaking.